



Attorney Docket No. ~~09879443~~ 09879443/02US

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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Vincent DUBOIS, et al.

Serial No.: 09/879,442

Examiner: Not Yet Assigned

Confirmation No.: Not Yet Assigned

Art Unit: Not Yet Assigned

Filed: June 11, 2001

For: ENZYME-CLEAVABLE PRODRUG COMPOUNDS

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Transmitted herewith, are the following documents:

- ☒ Information Disclosure Statement under 37 C.F.R. §1.97(b),
- ☒ Form PTO/SB/08
- ☒ International Search Report for PCT/US99/30393
- ☒ Cited References (123 documents)
- ☒ Return post card

In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 03-3117.

Dated: November 29, 2001

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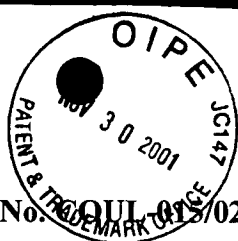
KEF/sb

Respectfully submitted,
COOLEY GODWARD LLP

By:

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Reg. No. 44,111



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1/17/02
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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.
§§1.97 and 1.98.

- [X] Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- [X] Publication(s) **F3, F9, F10, F14, F16, D1, D23, D43, D50, D51, D80, D84, D88 and D93**, listed on the attached Form PTO/SB/08, were cited in a foreign search or examination report corresponding to PCT application serial no. PCT/US99/30393 and mailed on June 28, 2000. The present application is a CIP of PCT/US99/30393
- [X] Enclosed is a copy of a non-English publication(s) **F3 and F8**. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s). Publications **F3 and F8** are provided as B1 publications which contain English language claims.

- [X] Enclosed is a copy of a non-English publications **F1, F2, F4, F7 and F14**. English language publications **P2, P3, P4, P7 and P13** (copies enclosed) claim priority from or are otherwise equivalent to these non-English publications, respectively. Therefore these English language references have been submitted in addition to the foreign language references and in lieu of translation of the foreign language references.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08 to the undersigned.

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Respectfully submitted,
COOLEY GODWARD LLP

By:

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/879,442
		Filing Date	June 11, 2001
		First Named Inventor	Vincent DUBOIS
		Group Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	COUL-015/02US
Sheet	1	of	7

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code (if known)		
	P1	4,277,456		Trouet et al.	07-07-1981
	P2	4,296,106		Baurain et al.	10-20-1981
	P3	4,376,765		Trouet et al.	03-15-1983
	P4	4,388,305		Trouet et al.	06-14-1983
	P5	4,639,456		Trouet et al.	01-27-1987
	P6	4,671,958		Rodwell et al.	06-09-1987
	P7	4,703,107		Monsigny et al.	10-27-1987
	P8	4,719,312		Firestone, R.A.	01-12-1988
	P9	4,870,162		Trouet et al.	09-26-1989
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	P11	5,220,001		Ok et al.	06-15-1993
	P12	5,599,686		DeFeo-Jones et al.	02-04-1997
	P13	5,962,216		Trouet, et al.	10-05-1999

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Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Office	Number	Kind Code (if known)		
	F1	BE	869 485	A		12-01-1978
	F2	BE	882 541	A		07-16-1980
	F3	EP	0 037 388	B1	INSTITUT INTERNATIONAL DE PATHOLOGIE CELLULAIRE ET MOLECULAIRE	03-30-1981
	F4	EP	0 041 935	A1	OMNICHEM	12-16-1981
	F5	EP	0 044 090	A2	MERCK & CO.	01-20-1982
	F6	EP	0 126 344	A2	ABBOTT LABORATORIES	11-28-1994
	F7	EP	0 126 685	A1	CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE	11-28-1984
	F8	EP	0 208 615	B1	IRE-CELLTARG	01-14-1987
	F9	EP	0 475 230	A1	BRUNSWICK CORPORATION	09-02-1991
	F10	EP	0 640 622	A1	DRUG DELIVERY SYSTEM INSTITUTE, LTD.	02-29-1994
	F11	WO	92/07068	A1	ATHENA NEUROSCIENCES, et al.	04-30-1992
	F12	WO	93/02703		IGEN, INC.	02-18-1993
	F13	WO	96/00503		MERCK & CO., INC.	01-11-1996
	F14	WO	96/05863	A1	LA REGION WALLONNE, et al.	02-29-1996
	F15	WO	96/33198	A1	DRUG INNOVATION & DESIGN, INC.	10-24-1996
	F16	WO	98/52966	A1	THE JOHNS HOPKINS UNIVERSITY SCHOOL OF MEDICINE	11-26-1998
	F17	WO	00/33888	A2	COULTER PHARMACEUTICALS, INC., et al	06-15-2000

Examiner Signature		Date Considered	
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	D1	ABOLD-PIRAK, Esther, et al., "Cytotoxic activity of Daunorubicin or Vindesin Conjugated to a Monoclonal Antibody on Cultured MCF-7 Breast Carcinoma Cells," <i>Biochem. Pharmacol.</i> 38:641-648 (1989)	
	D2	BALAJTHY et al., "Synthesis and Functional Evaluation of a Peptide Derivative of 1-β-D-Arabinofuranosylcytosine," <i>J. Med. Chem.</i> 35:3344-3349 (1992)	
	D3	BARRETT, AJ, et al., (eds.) "Thimet oligopeptidase." <i>Handbook of proteolytic enzymes</i> (with cd-rom). [371], 1108-1111. 1998. San Diego, Academic Press.	
	D4	BARRETT, et al., "Thimet Oligopeptidase and Oligopeptidase M or Neurolysin [32]," <i>Meth. Enzymol.</i> 248:529-556 (1995)	
	D5	BARRETT, et al., "Chicken liver Pz-peptidase, a thiol-dependent metallo-endopeptidase," <i>Biochem J</i> 271:701-706 (1990)	
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	D7	BAURAIN, et al., "Antitumor Activity of Daunorubicin Linked to Proteins: Lysosomal Hydrolysis and Antitumor Activity of Conjugates Prepared with Peptidic Spacer Arms," <i>Chemical Abstracts</i> 97:386 (1982)	
	D8	BAURAIN, et al., "Antitumor Activity of Daunorubicin Linked to Proteins: Lysosomal Hydrolysis and Antitumor Activity of Conjugates Prepared with Peptidic Spacer Arms," <i>Curr. Chemother. Immunother., Proc. Int. Congr. Chemother.</i> , 12 th (1982), Vol. 2, 1430-32 (1982)	
	D9	BAURAIN, et al., "Targeting of Daunorubicin by Covalent and Reversible Linkage to Carrier Proteins. Lysosomal Hydrolysis and Antitumor Activity of Conjugates Prepared with Peptidic Spacers." <i>Drugs Exp. Clin.</i> , Vol. 9, pp 303-311, 1983	
	D10	BRICOUT, Herve, et al., "Synthetic and Kinetic Aspects of Nickel-Catalysed Amination of Allylic Alcohol Derivatives," <i>Tetrahedron</i> 54:1073-1084 (1998)	
	D11	BUCHLER, M, et al. "Proteinase yscD (oligopeptidase yscD). Structure, function and relationship of the yeast enzyme with mammalian thimet oligopeptidase (metalloendopeptidase, EP 24.15)," <i>Eur.J.Biochem.</i> 219:627-639 (1994)	
	D12	CAMARGO, AC, et al. "Structural requirements of bioactive peptides for interaction with endopeptidase 22.19," <i>Neuropeptides</i> 26:281-287 (1994)	
	D13	CARDOZO, C, et al. "Evidence that enzymatic conversion of N-[1(R,S)-carboxy-3-phenylpropyl]-Ala-Ala-Phe-p-aminobenzoate, a specific inhibitor of endopeptidase 24.15, to N-[1(R,S)-carboxy-3-phenylpropyl]-Ala-Ala is necessary for inhibition of angiotensin converting enzyme," <i>Peptides</i> 14:1259-1262 (1993)	
	D14	CASALE, L, et al. "Quantitation of endopeptidase 24.11 and endopeptidase 24.15 in human blood leukocytes," <i>Enzyme Protein</i> 48:143-148 (1994)	
	D15	CHAIRES, et al., "Self-Association of Daunomycin," <i>Biochemistry</i> 21:3927-32 (1982)	

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/879,442
		Filing Date	June 11, 2001
		First Named Inventor	Vincent DUBOIS
		Group Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
Sheet 3 of 7	Attorney Docket Number	COUL-015/02US	

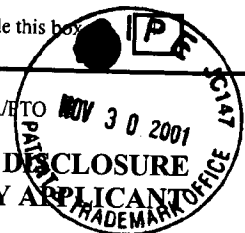
D16	CHAKRAVARTY et al., "Plasmin-Activated Prodrugs for Cancer Chemotherapy. 1. Synthesis and Biological Activity of Peptidylacivicin and Peptidylphenylenediamine," <i>J. Med. Chem.</i> 26:633-638 (1983)
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D18	CHEN, et al. "Immunolocalization of thimet oligopeptidase in chicken embryonic fibroblasts," <i>Exp. Cell Res</i> 216:80-85 (1995)
D19	CHEN, et.al., " 'Analogous' Organic Synthesis of Small-Compound Libraries: Validation of Combinatorial Chemistry in Small Molecule Synthesis," <i>J. Am. Chem. Soc.</i> 116:2661 (1994)
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D21	CRACK, et al., "The association of metalloendopeptidase EC 3.4.24.15 at the extracellular surface of the AtT-20 cell plasma membrane," <i>Brain Res</i> 835:113-124 (1999)
D22	DANDO, et al., "Human thimet oligopeptidase," <i>Biochem J</i> 294:451-457 (1993)
D23	DE MARRE, et al., "Evaluation of the hydrolytic and enzymatic stability of macromolecular Mitomycin C Derivatives," <i>J. Controlled Release</i> 31:89-97 (1994)
D24	DELUCIA, et al., "Efficacy and toxicity of differently charged polycationic protamine-like peptides for heparin anticoagulation reversal," <i>J. Vasc. Surg.</i> 18:49-60 (1993)
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D26	EISENBRAND et al., "An Approach Towards More Selective Anticancer Agents," <i>Synthesis</i> , pp. 1246-1258 (October 1996)
D27	EKRAMI, et al., "Carbamylation decreases the cytotoxicity but not the drug-carrier properties of polylysines," <i>J. Drug Targ.</i> 2:469-475 (1995)
D28	FERRO, et al. "Secretion of a neuropeptide-metabolizing enzyme similar to endopeptidase 22.19 by glioma C6 cells," <i>Biochem.Biophys.Res.Commun.</i> 191:275-281. (1993)
D29	FERRO, et al., "Secretion of metalloendopeptidase 24.15 (EC 3.4.24.15)," <i>DNA Cell Biol</i> 18:781-789 (1999)
D30	GARRIDO, et al., "Confocal microscopy reveals thimet oligopeptidase (EC 3.4.24.15) and neurolysin (EC 3.4.24.16) in the classical secretory pathway," <i>DNA Cell Biol</i> 18:323-331 (1999)
D31	GENET, et al., "A General and Simple Removal of the Allyloxycarbonyl Protecting Group by Palladium-Catalyzed Reactionos Using Nitrogen and Sulfur Nucleophiles," <i>Synlett</i> 680-682 (1992)

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D32	GENET, et al., "Practical Palladium-Mediated Deprotective Method of Allyloxycarbonyl in Aqueous Media," <i>Tetrahedron</i> . Vol. 50, No. 2 497-503 (1994)
D33	GLUCKSMAN and ROBERTS, "Strategies for characterizing, cloning, and expressing soluble endopeptidases," <i>Methods in Neurosciences</i> , 23: 296-316 (1995)
D34	HARNOIS-PONTONI, I. et al., "Hydrosoluble Fluorogenic Substrates for Plasmin" <i>Analytical Biochemistry</i> , 193, 248-255 (1991)
D35	HAYASHI, et al. "Species specificity of thimet oligopeptidase (EC 3.4.24.15)," <i>Biol.Chem Hoppe-Seyler</i> 377:283-291 (1996)
D36	HOES and FEIJEN "The Application of Drug-Polymer Conjugates in Chemotherapy" in <i>Horizons in Biochemistry and Biophysics Vol. 9: Drug Carrier Systems</i> , pp. 57-109 (1989)
D37	ISRAEL, M. et al., "Adriamycin Analogues. Preparation and Biological Evaluation of Some N-(Trifluoroacetyl)-14-O-[(N-acetylamino)acyl] adriamycin Derivatives" <i>J. of Med. Chem.</i> , 1986, Vol. 29, 1273-1276
D38	JACCHIERI, et al. " comparative conformational analysis of thimet oligopeptidase (EC 3.4.24.15) substrates," <i>J.Pept.Res</i> 51:452-459 (1998)
D39	JIRACEK, et al. "Development of highly potent and selective phosphinic peptide inhibitors of zinc endopeptidase 24-15 using combinatorial chemistry." <i>J. Biol. Chem</i> 270:21701-21706 (1995)
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D41	KATO, et al., "Cloning, amino acid sequence and tissue distribution of porcine thimet oligopeptidase. A comparison with soluble angiotensin-binding protein," <i>Eur J Biochem</i> 221:159-165 (1994)
D42	KENNETT et al., "Comparative Histochemical, Biochemical and Immunocytochemical Studies of Cathepsin B in Human Gingiva," <i>Chem. Abstr.</i> , 121:79924, 1994
D43	KING, et al., "Synthesis and proteolytic cleavage of 3'-N -peptidyl-Adriamycin prodrugs," <i>Struct. Biol.</i> 137-139 (1988)
D44	KNIGHT and BARRETT, "Structure/function relationships in the inhibition of thimet oligopeptidase by carboxyphenylpropyl-peptides," <i>FEBS Lett</i> 294: 183-186 (1991)
D45	KNIGHT, et al. "Thimet oligopeptidase specificity: evidence of preferential cleavage near the C-terminus and product inhibition from kinetic analysis of peptide hydrolysis," <i>Biochem.J.</i> 308:145-150 (1995)
D46	KRAUSE, et al., "Characterization and localization of mitochondrial oligopeptidase (MOP) (EC 3.4.24.16) activity in the human cervical adenocarcinoma cell line HeLa," <i>J Cell Biochem</i> 66:297-308 (1997)
D47	LESSER, et al. "Hydrolysis of N-formylmethionyl chemotactic peptides by endopeptidase 24.11 and endopeptidase 24.15," <i>Peptides</i> 17:13-16 (1996).

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D48	LEW, et al. "Evidence for a two-step mechanism of gonadotropin-releasing hormone metabolism by prolyl endopeptidase and metal loendopeptidase EC 3.4.24.15 in ovine hypothalamic extracts," <i>J. Biol. Chem.</i> 269:12626-12632 (1994)
D49	LEW, et al. "Substrate specificity differences between recombinant rat testes endopeptidase EC 3.4.24.15 and the native brain enzyme," <i>Biochem Biophys Res Commun</i> 209:788-795 (1995)
D50	MASQUELIER, et al., "Amino Acid and Dipeptide Derivatives of Daunorubicin, 1. Synthesis, Physicochemical Properties, and Lysosomal Digestion," <i>J. Med. Chem.</i> 23:1166-1170 (1980)
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D52	MASQUELIER, M., et al., "Antitumor Activity of Daunorubicin Linked to Proteins: Biological and Antitumor Properties of Peptidic Derivatives of Daunorubicin Used as Intermediates," <i>Chemical Abstracts</i> 97:386 (1982)
D53	MATZANKE, et al., "Evidence for Polynuclear Aggregates of Ferric Daunomycin," <i>Eur. J. Biochem.</i> 207:747-55 (1992)
D54	MAYER, R., et al., "Peptide Derivatives Specific for a <i>Plasmodium falciparum</i> Proteinase Inhibit the Human Erythrocyte Invasion by Merozoites" <i>Journal of Medicinal Chemistry</i> , 1991, Vol. 34, 3029-3038
D55	MCKIE, N, et al. "Rat thimet oligopeptidase: large-scale expression in <i>Escherichia coli</i> and characterization of the recombinant enzyme," <i>Biochem.J.</i> 309:203-207 (1995)
D56	MENOZZI, et al., "Self-association of doxorubicin and related compounds in aqueous solutions," <i>J. Pharmaceut. Sci.</i> , 73:766-770 (1984)
D57	MOODY, et al., "Neurotensin is metabolized by endogenous proteases in prostate cancer cell lines," <i>Peptides</i> 19:253-258 (1998)
D58	MORALES, et al., "PZ-peptidase from Chick Embryos. Purification, Properties, and Action on Collagen Peptides," <i>J Biol Chem</i> 252:4855-4860 (1977)
D59	NOBLE, et al., "Association of aminopeptidase N and endopeptidase 24.15 inhibitors potentiate behavioral effects mediated by nociceptin/orphanin FO in mice," <i>FEBS Lett.</i> 401:227-229 (1997)
D60	OLIVEIRA, et al., "Characterization of thiol-, aspartyl-, and thiol-metallo-peptidase activities in madin-darby canine kidney cells," <i>J Cell Biochem</i> 76:478-488 (2000)
D61	ORLOWSKI, et al. "Substrate-related potent inhibitors of brain metalloendopeptidase." <i>Biochemistry</i> 27:597-602 (1988)
D62	ORLOWSKI, et al., "Endopeptidase 24.15 from rat testes. Isolation of the enzyme and its specificity toward synthetic and natural peptides, including enkephalin-containing peptides," <i>Biochem J</i> 261:951-958 (1989)
D63	PIEROTTI, et al., "Endopeptidase-24.15 in rat hypothalamic/pituitary/gonadal axis," <i>Mol Cell Endocrinol</i> 76:95-103 (1991)

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D64	PINEAU, et al. "Distribution of thimet oligopeptidase (E.C. 3.4.24.15) in human and rat testes," <i>J. Cell Sci</i> 112:3455-3462 (1999)	
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Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 7 of 7

Application Number	09/879,442
Filing Date	June 11, 2001
First Named Inventor	Vincent DUBOIS
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	COUL-015/02US

D80	TROUET, et al., "A covalent linkage between daunorubicin and proteins that is stable in serum and reversible by lysosomal hydrolases, as required for a lysosomotropic drug-carrier conjugate: In Vitro and vivo studies," <i>Proc. Natl. acad. Sci. USA</i> 79:626-629 (1982)
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¹ Unique citation designation number.² Applicant is to place a check mark here if English language Translation attached.

Examiner Signature	Date Considered
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